

No: 89/2022/PHS-PL

*Ref.: disclosing re-appointment and
new appointment of internal person*

Ho Chi Minh City, 01 April 2022

**INFORMATION DISCLOSURE ON WEBSITE OF
STATE SECURITIES COMMISSION**

To: • **State Securities Commission**

Name: **PHU HUNG SECURITIES CORPORATION (PHS)**

Headquarters: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City.

Phone: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472

Person to implement information disclosure: Mr. Chen Chia Ken

Type of information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses re-appointment and new appointment of internal person according to Resolution of Annual General Meeting of Shareholders in 2022, as follows:

1. Re-appointment of Members of Board of Directors:

(a) Mr. Albert Kwang-Chin Ting

- Former position in the organization: Member of Board of Directors
- Appointed position: Member of Board of Directors
- Term: 2022-2025
- Effective date: 31/03/2022



(b) Mr. Nguyen Doan Hung

- Former position in the organization: Member of Board of Directors
- Appointed position: Member of Board of Directors
- Term: 2022-2025
- Effective date: 31/03/2022

(c) Mr. Chen Chia Ken

- Former position in the organization: Member of Board of Directors
- Appointed position: Member of Board of Directors
- Term: 2022-2025
- Effective date: 31/03/2022

(d) Mr. Wu, Jin-Jeng

- Former position in the organization: Member of Board of Directors
- Appointed position: Member of Board of Directors
- Term: 2022-2025
- Effective date: 31/03/2022

(e) Ms. Liu, Hsiu-Mei

- Former position in the organization: Independent member of Board of Directors
- Appointed position: Independent member of Board of Directors
- Term: 2022-2025
- Effective date: 31/03/2022

2. Re-appointment of members of Inspection Committee:

(a) Mr. Liew Sep Siang

- Former position in the organization: Member of Inspection Committee
- Appointed position: Member of Inspection Committee
- Term: 2022-2025
- Effective date: 31/03/2022

(b) Mr. Chiu, Hsien-Chih

- Former position in the organization: Member of Inspection Committee
- Appointed position: Member of Inspection Committee
- Term: 2022-2025
- Effective date: 31/03/2022

3. Re-appointment of General Director:

Mr. Chen Chia Ken

- Former position in the organization: General Director
- Appointed position: General Director
- Term: 2022-2025
- Effective date: 31/03/2022

4. New appointment of member of Inspection Committee:

Ms. Kuo, Ping-Min

- Former position in the organization: none
- Newly appointed position: Member of Inspection Committee
- Term: 2022-2025



- Effective date: 31/03/2022

The information is disclosed on PHS's website at <https://www.phs.vn>, tab Investor Relations, and section Shareholder Information.

PHS undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

Attachments:

1. *Resolution No. 01/2022/NQ-DHĐCĐ dated 31 March 2022;*
2. *Curriculum Vitae of individuals stated above.*

Recipient:

- *As above;*
- *Archive at Company Secretariat;*
- *Archive at Legal Division.*



**RESOLUTION
THE ANNUAL GENERAL MEETING OF SHAREHOLDERS
PHU HUNG SECURITIES CORPORATION**

Pursuant to:

- Law on Enterprises No. 59/2020/QH14;
- The current Charter of Phu Hung Securities Corporation;
- The Meeting minutes of the General Meeting of Shareholder No. 01/2022/BB-ĐHĐCĐ dated March 31st, 2022 of Phu Hung Securities Corporation;
- Report on shareholder status verification and minutes of scrutiny at the Annual General Meeting of Shareholders.

The Annual General Meeting of Shareholders (“**AGMS**”) of Phu Hung Securities Corporation is held at 02:00 P.M on March 31st, 2022 at 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City, which thereby is convened in validation.

Attendees:

- Shareholders of Phu Hung Securities Corporation (PHS) as the list recorded on March 3rd, 2022.
- Number of attending shareholders: 22 shareholders and the authorized persons, representing 137,080,685 shares, taking 97.91 % of the total voting shares of the Company.

RESOLVES TO

The AGMS of Phu Hung Securities Corporation consents to pass these following Resolutions:

RESOLUTION 1. PASS THE AUDITED FINANCIAL STATEMENT IN 2021

The AGMS consents to pass the Audited Financial Statement in 2021 as attachment.

RESOLUTION 2. PASS THE REPORT OF THE BOARD OF DIRECTORS ON THE BUSINESS PERFORMANCE IN 2021

The AGMS consents to pass the Report of the Board of Directors on the Business performance in 2021 as attachment.



RESOLUTION 3. PASS THE REPORT OF THE INSPECTION COMMITTEE ON THE BUSINESS PERFORMANCE IN 2021

The AGMS consents to pass the Report of the Inspection Committee on the Business performance in 2021 as attachment.

RESOLUTION 4. PASS THE REPORT ON CORPORATE GOVERNANCE OF PHS IN 2021

The AGMS consents to pass the Report on Corporate Governance of PHS in 2021 as attachment.

RESOLUTION 5. PASS THE REPORT ON UTILIZATION OF CAPITAL FOR THE CAPITAL RAISED FROM THE PRIVATE PLACEMENT OF SHARES ON AUGUST 30th, 2021

The AGMS consents to pass Report on Utilization of Capital for the capital raised from the private placement of shares on August 30th, 2021 as attachment.

RESOLUTION 6. PASS THE REPORT ON UPDATING THE CHARTER WITH THE HEAD OFFICE ADDRESS AND CHARTER CAPITAL IN 2021

The AGMS consents to pass Report on updating the charter with the head office address and charter capital in 2021 as attachment.

RESOLUTION 7. PASS THE PROFIT DISTRIBUTION PLAN IN 2021 AND THE AUTHORIZATION FOR THE BOARD OF DIRECTORS TO CHOOSE THE SUITABLE TIME AND PROCEED THE PROCEDURE TO PAY DIVIDEND FOR SHAREHOLDER

The AGMS consents to pass the Profit Distribution Plan in 2021 as attachment with the following details:

- Profit after tax in 2021: 140,935,106,927 VND
- Additional reserve fund of charter capital (5% on the profit after tax): 7,046,755,346 VND
- Operational risk and financial reserve funds (5% on the profit after tax): 7,046,755,346 VND
- Undistributed profit in 2021 126,841,596,235 VND
- Undistributed profit at 31/12/2021 180,535,371,172 VND
- Dividend 2021 pay cash: 4.8% par value (excluding treasury shares) 67,199,764,800 VND
- Dividend 2021 pay stock: 7.15% par value (excluding treasury shares) 100,098,070,000 VND

AGMS consents to pass the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders.

RESOLUTION 8. PASS THE DEVELOPMENT AND BUSINESS PLAN OF PHS IN 2022

The AGMS consents to pass the Development and Business plan of PHS in 2022 as attachment.

RESOLUTION 9. PASS THE FINANCIAL BUDGET IN 2022

The AGMS consents to pass the Financial Budget of the Company in 2022 as attachment.

RESOLUTION 10. PASS THE SELECTION OF AUDITOR IN 2022

The AGMS consents to pass KPMG Viet Nam Co., Ltd to be the selection of Auditor in 2022 as attachment.

RESOLUTION 11. PASS THE PLAN ON PRIVATE PLACEMENT, CAPITAL UTILIZATION AND THE AUTHORIZATION FROM AGMS TO THE BOARD OF DIRECTORS TO IMPLEMENT THE PLAN ON PRIVATE PLACEMENT AND CAPITAL UTILIZATION

The AGMS consents to pass the plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization as attachment.

RESOLUTION 12. PASS THE REVISED CHARTER OF PHS

The AGMS consents to pass the revised charter of PHS as attachment.

RESOLUTION 13. PASS THE LIST OF MEMBERS OF THE BOARD OF DIRECTORS OF THE COMPANY DURING THE PERIOD FROM 2022-2025

The AGMS consents to pass the List of members of the Board of Directors of the Company during the period from 2022-2025 as follows:

1. Mr Albert Kwang-Chin Ting : Member of the Board of Directors
2. Mr Nguyen Doan Hung : Member of the Board of Directors
3. Mr Chen Chia Ken : Member of the Board of Directors
4. Mr Wu, Jin-Jeng : Member of the Board of Directors
5. Ms Liu, Hsiu-Mei : Independent Member of the Board of Directors

RESOLUTION 14. PASS THE LIST OF MEMBERS OF INSPECTION COMMITTEE OF THE COMPANY DURING THE PERIOD FROM 2022-2025

The AGMS consents to pass the List of members of Inspection Committee of the Company during the period from 2022-2025 as follows:



1. Mr Chiu, Hsien-Chih : Member of Inspection Committee
2. Mr Liew Sep Siang : Member of Inspection Committee
3. Ms Kuo, Ping-Min : Member of Inspection Committee

RESOLUTION 15. PASS THE REPORT OF THE BOARD OF DIRECTORS ON THE RE-APPOINTMENT OF Mr. CHEN CHIA KEN TO BE GENERAL DIRECTOR OF THE COMPANY DURING THE PERIOD FROM 2022-2025

The AGMS consents to pass the Report of the Board of Directors on the re-appointment of Mr. Chen Chia Ken to be General Director of the Company during the period from 2022-2025 as attachment.

RESOLUTION 16. PASS THE ADJUSTMENT PHS ORGANIZATION CHART AND RENAMING TRADING SERVICE DIVISION

The AGMS consents to pass the Adjustment PHS organization chart and renaming Trading Service division as attachment.

RESOLUTION 17. PASS THE REGISTRATION AS A COVERED WARRANT ISSUER

The AGMS consents to pass the Registration as a Covered Warrant issuer as attachment.

RESOLUTION 18. PASS THE LISTING OF STOCK PHS ON HO CHI MINH STOCK EXCHANGE (HOSE)

The AGMS consents to pass the Listing of stock PHS on Ho Chi Minh Stock Exchange (HOSE) as attachment.

RESOLUTION 19. ORGANIZING IMPLEMENTATION

The resolution is effective from March 31st, 2022

The Board of Directors of Phu Hung Securities Corporation is responsible for organizing, leading the Company to implement the above content of the Resolution.

ON BEHALF OF THE ANNUAL GENERAL
MEETING OF SHAREHOLDERS
CHAIRMAN OF MEETING



Mr. CHEN CHIA KEN

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Ho Chi Minh City, February 28th, 2022

CURRICULUM VITAE

To:

- **STATE SECURITIES COMMISSION**
- **VIETNAM EXCHANGE**
- **HANOI STOCK EXCHANGE**
- **HO CHI MINH CITY STOCK EXCHANGE**

1. Full name: **KUO, PING-MIN**
2. Gender: Female
3. Date of birth: 26 August 1971
4. Place of birth: Taiwan
5. Passport No.: Date of issue: Place of issue:
6. Nationality: Taiwan 7. Ethnic:
8. Permanent address:

9. Telephone number: (+84 28) 5413 5479
10. Email: cbtt_phs@phs.vn
11. Organization's name subject to information disclosure rules: Phu Hung Securities Corporation
12. Current position in an institution subject to information disclosure: Member of Inspection Committee
13. Positions in others companies:
 - Deputy General Director of Phu Hung Fund Management Joint Stock Company
14. Number of shares in possession 0 share, accounting for 0% of charter capital, wherein:
 - 14.1 Possession behalf of (State/strategic investor/other institution): None
 - 14.2 Individual possession: None
15. Other commitment of possession (if any): None
16. List of related persons of applicant:

No.	Securities symbol	Name	Securities trading accounts (if available)	Position at the company (if available)	Relationship with the company/ internal person	Type of documents (ID/ Passport/ Business Registration Certificate)	No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the end of the period	Percentage of shares owned at the end of the period	Time the person became an affiliated person/ internal person	Time the person ceased to be an affiliated person/ internal person	Reasons (when arising changes related to sections of 13 and 14)	Notes (i.e. not in possession of a NSH No. and other notes)
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
1	PHS	Kuo, Ping-Min	-	Member of Inspection Committee	-	Passport				21F, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, Dist.7, HCMC	-	-	-	-	Appointed	-
1.01		Ding Zhi Fang	-	-	Mother	ID				-	-	-	-	-	-	-

17. Related interest with public company, public fund (if any): None
18. Conflict interest with public company, public fund (if any): None

I hereby undertake that the information provided above is true and correct, I will take the full responsibility to the law.

APPLICANT



KUO, PING-MIN